


Please type a plus sign (+) inside this box → 

PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

| | |
|------------------------|---|
| Attorney Docket No. | 47881-00003/US |
| First Inventor | Hyun HUH et al. |
| Title | POLISHING PAD CONTAINING EMBEDDED LIQUID MICROELEMENTS AND METHOD OF MANUFACTURING THE SAME |
| Express Mail Label No. | |

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

- ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
- ☒ Applicant claims small entity status. Verified Statements (2) attached hereto. See 37 CFR 1.27.
- ☒ Specification Total Pages 27
(preferred arrangement set forth below)
 - Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
- ☒ Drawing(s) (35 U.S.C. 113) (Total Sheets 7)
- Oath or Declaration (Total Pages 4)
 - ☒ Newly executed (original or copy)
 - ☐ Copy from a prior application (37 CFR 1.63 (d))
(for a continuation/divisional with Box 18 completed)
 - ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO:

Commissioner for Patents
Box Patent Application
P.O. Box 1450

Alexandria, VA 22313-1450

- ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
- Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - ☐ Computer Readable Form (CRF)
 - Specification Sequence Listing on:
 - ☐ CD-ROM or CD-R (2 copies); or
 - ☐ paper
 - Statements verifying identity of above copies

ACCOMPANYING APPLICATIONS PARTS

- ☒ Assignment Papers (cover sheet & document(s))
- ☐ 37 C.F.R. §3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
- ☐ English Translation Document (if applicable)
- ☐ Information Disclosure ☐ Copies of IDS
Statement (IDS)/PTO-1449 Citations
- ☐ Preliminary Amendment
- ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
- ☒ Certified Copy of Priority Document(s) - 2
(if foreign priority is claimed)
- ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
- ☐ Other: _____

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No. _____ / _____

Prior application information: Examiner _____

Group / Art Unit: _____

For CONTINUING or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label


30593

or ☐ Correspondence address below

30593

(Insert Customer No. or Attach bar code label here)

| | | | | | | |
|---------|----------------------------------|-----------|--------------|----------|--------------|--|
| Name | Harness, Dickey & Pierce, P.L.C. | | | | | |
| Address | P.O. Box 8910 | | | | | |
| City | Reston | State | VA | Zip Code | 20195 | |
| Country | United States of America | Telephone | 703-668-8000 | Fax | 703-668-8200 | |

| | | | |
|-------------------|---|-----------------------------------|-----------------|
| Name (Print/Type) | Terry L. Clark | Registration No. (Attorney/Agent) | 32,644 |
| Signature |  | Date | August 26, 2003 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

15535 U.S. PTO

10/64739

08/26/03

18334 U.S. PTO
08/26/03

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PTO/SB/17 (01-03)
Approved for use through 10/31/2002, OMB 0651-0032
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

FEE TRANSMITTAL for FY 2003

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 514

Complete If Known

Application Number NEW
Filing Date August 26, 2003
First Named Inventor Hyun HUH et al.
Examiner Name Unknown
Group / Art Unit Unknown
Attorney Docket No. 47881-000003/US

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money ☐ Other ☐ None
Order

☐ Deposit Account:

Deposit Account Number 08-0750

Deposit Account Name Harness, Dickey & Pierce, P.L.C.

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments
☒ Charge any additional fee(s) during the pendency of this application
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity | Fee Code | Fee (\$) | Small Entity | Fee Code | Fee (\$) | Fee Description | Fee Paid |
|--------------|----------|----------|--------------|----------|----------|------------------------|----------|
| 1001 | 750 | 2001 | 375 | | | Utility filing fee | 375 |
| 1002 | 330 | 2002 | 165 | | | Design filing fee | |
| 1003 | 520 | 2003 | 260 | | | Plant filing fee | |
| 1004 | 750 | 2004 | 375 | | | Reissue filing fee | |
| 1005 | 160 | 2005 | 80 | | | Provisional filing fee | |
| SUBTOTAL (1) | | | | | | | (\$ 375) |

2. EXTRA CLAIM FEES

| Total Claims | Independent Claims | Multiple Dependent | Extra Claims | Fee from below | Fee Paid |
|--------------|--------------------|--------------------|--------------|----------------|----------|
| 31 | -20 | ** | 11 | X 9 | 99 |
| 2 | -3 | ** | 0 | X 42 | 0 |
| | | | | X | 0 |

| Large Entity | | | Small Entity | | | Fee Description |
|--------------|----------|--|--------------|----------|--|-----------------|
| Fee Code | Fee (\$) | | Fee Code | Fee (\$) | | |
| 1202 | 16 | | 2202 | 9 | Claims in excess of 20 | |
| 1201 | 84 | | 2201 | 42 | Independent claims in excess of 3 | |
| 1203 | 280 | | 2203 | 140 | Multiple dependent claim, if not paid | |
| 1204 | 84 | | 2204 | 42 | ** Reissue independent claims over original patent | |
| 1205 | 18 | | 2205 | 9 | ** Reissue claims in excess of 20 and over original patent | |
| SUBTOTAL (2) | | | | | | (\$ 99) |

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Large Entity | Fee Code | Fee (\$) | Small Entity | Fee Code | Fee (\$) | Fee Description | Fee Paid |
|-----------------------------------|----------|----------|--------------|----------|----------|--|----------|
| 1051 | 130 | 2051 | 65 | | | Surcharge - late filing fee or oath | |
| 1052 | 50 | 2052 | 25 | | | Surcharge - late provisional filing fee or cover sheet | |
| 1053 | 130 | 1053 | 130 | | | Non-English specification | |
| 1812 | 2,520 | 1812 | 2,520 | | | For filing a request for reexamination | |
| 1804 | 920* | 1804 | 920* | | | Requesting publication of SIR prior to Examiner action | |
| 1805 | 1,840* | 1805 | 1,840* | | | Requesting publication of SIR after Examiner action | |
| 1251 | 110 | 2251 | 55 | | | Extension for reply within first month | |
| 1252 | 410 | 2252 | 205 | | | Extension for reply within second month | |
| 1253 | 930 | 2253 | 465 | | | Extension for reply within third month | |
| 1254 | 1,450 | 2254 | 725 | | | Extension for reply within fourth month | |
| 1255 | 1,970 | 2255 | 985 | | | Extension for reply within fifth month | |
| 1401 | 320 | 2401 | 160 | | | Notice of Appeal | |
| 1402 | 320 | 2402 | 160 | | | Filing a brief in support of an appeal | |
| 1403 | 280 | 2403 | 140 | | | Request for oral hearing | |
| 1451 | 1,510 | 1451 | 1,510 | | | Petition to institute a public use proceeding | |
| 1452 | 110 | 2452 | 55 | | | Petition to revive - unavoidable | |
| 1453 | 1,300 | 2453 | 650 | | | Petition to revive - unintentional | |
| 1501 | 1,300 | 2501 | 650 | | | Utility issue fee (or reissue) | |
| 1502 | 470 | 2502 | 235 | | | Design issue fee | |
| 1503 | 630 | 2503 | 315 | | | Plant issue fee | |
| 1480 | 130 | 1460 | 130 | | | Petitions to the Commissioner | |
| 1807 | 50 | 1807 | 50 | | | Processing fee under 37 CFR 1.17 (a) | |
| 1808 | 180 | 1808 | 180 | | | Submission of Information Disclosure Stmt | |
| 8021 | 40 | 8021 | 40 | | | Recording each patent assignment per property (times number of properties) | 40 |
| 1809 | 750 | 2809 | 375 | | | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| 1810 | 750 | 2810 | 375 | | | For each additional invention to be examined (37 CFR § 1.129(b)) | |
| 1801 | 750 | 2801 | 375 | | | Request for Continued Examination (RCE) | |
| 1802 | 900 | 1802 | 900 | | | Request for expedited examination of a design application | |
| Other fee (specify) _____ | | | | | | | |
| *Reduced by Basic Filing Fee Paid | | | | | | | |
| SUBTOTAL (3) | | | | | | | (\$ 40) |

SUBMITTED BY

Name (Print/Type) Terry L. Clark Registration No. Attorney/Agent 32,644 Telephone 703/666-8000
Signature [Signature] Date August 26, 2003

Complete (if applicable)

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